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# Asthma control in general practice GP and patient perspectives compared

### **Background**

How general practitioners (GPs) and patients perceive asthma control, and concordance between these perceptions, may influence asthma management and medication adherence. The aims of this study were to determine asthma prevalence in adult patients, measure patient asthma control and the correlation between GP and patient perceptions of asthma control or impact.

#### Methods

A Supplementary Analysis of Nominated Data (SAND) sub-study of the Bettering the Evaluation and Care of Health (BEACH) program surveyed 2563 patients from 103 GPs. Asthma control was measured using the Asthma Control Questionnaire 5-item version (ACQ-5), and medication adherence by patient self-report. Survey procedures in SAS software and Pearson's correlation statistics were used.

Asthma prevalence was 12.7% (95% confidence interval: 10.9-14.5), with good correlation between GP and patient perceptions of asthma control/ impact, and with raw ACQ-5 scores. Grouped ACQ-5 scores showed higher levels of uncontrolled asthma. Medication adherence was sub-optimal.

### **Discussion**

The ACQ-5 questions are useful for assessing asthma control, for prompting medication reviews, and for reinforcing benefits of medication compliance to improve long-term asthma control.

#### **Keywords**

communication, doctor-patient relations; general practice; asthma; respiratory tract diseases

Historically, asthma treatment guidelines have focused on asthma severity, but in recent years the emphasis has shifted to asthma control, aiming to improve asthma management in the primary care setting. 1,2 Good asthma control minimises day and night symptoms, limitation of activity, airway narrowing and the consequent need for bronchodilator use, and risk of exacerbations.<sup>2</sup> Several studies have reported that patients often believe their asthma to be controlled when it actually restricts their lives to a significant degree.<sup>3-5</sup> Poor adherence to preventive medication is an acknowledged reason for poor asthma control,6 and several qualitative studies have explored the reasons for patients' non-adherence to medication regimens.<sup>7,8</sup>

Patients' attitudes to their asthma and perception of their asthma control are suggested reasons for dissonance between recommended and actual medication use.8,9 How clinicians perceive their patients' asthma control, and the concordance between the two perspectives, may also influence asthma management and the patient's disease. 10 The few international studies conducted have found concordance between patients and physicians perceptions of asthma control to be low, 11,12 but this has not been investigated in Australia.

The aims of this study were to determine the prevalence of asthma in adult patients (18 years and older) attending general practice, the (measured) level of asthma control in these patients, the general practitioners' (GP's) perception of the patients' asthma control and the patients' perceptions of the impact of asthma on their lives. Any correlations between the

perceptions of asthma control and measured asthma control score were also investigated.

### Method

This study was conducted through a Supplementary Analysis of Nominated Data (SAND) sub-study of the Bettering the Evaluation and Care of Health (BEACH) program. BEACH is a continuous, national, crosssectional survey of Australian general practice activity. The BEACH methods are described in detail elsewhere, 13 but in brief, each year approximately 1000 randomly sampled, currently active recognised GPs are recruited. The GPs record details for 100 consecutive encounters with consenting, unidentified patients, on structured paper forms. Information is collected about what is managed for each patient at each visit on the days the GP is participating. Throughout the program, a series of SAND substudies are carried out. These utilise the GP as an 'expert interviewer' to record, in discussion with the patient, aspects of patient health additional to the content of the encounter. For this sub-study, 125 GPs were posted recording kits containing the asthma control SAND questions. Each GP was asked to survey 30 patients from their sample of 100 over a 5-week period from 10 July to 13 August, 2012.

For each of the sampled adult patients, the GP was asked to record: whether the patient had diagnosed asthma, how the GP rated the patient's asthma control (poor, partial, well controlled), what impact asthma has on the patient's life (patient's perspective), what medications the patient has taken for asthma in the past month, how often the patient has used these medications in the past week, and each of the questions contained in the Asthma Control Questionnaire (5-item version (ACQ-5)). 14,15 The five ACQ-5 questions (shown in Table 1), are

scored on a 7-point scale (0 = good control, 7 = poor control), and the overall score ('raw score') is the mean of the five responses. 16 Patients' scores were then classified into three prescribed groups ('grouped scores') as having well-controlled asthma (ACQ-5 score < 0.75); not well-controlled asthma (ACQ-5 score 0.75-1.5), or uncontrolled asthma (ACQ-5 score >1.5).17 For comparability with the GP perception scale and the ACQ-5 which have three asthma-control levels, the patient perception scale categories of 'not at all' and 'a little' were collapsed to compare with 'well-controlled', the 'moderate' category was compared with 'partial control' and the 'quite a lot' and 'a great deal' were combined to compare with 'uncontrolled'.

We calculated proportions and robust 95% confidence intervals using survey procedures in SAS software (version 9.3; SAS Institute, Cary, NC)<sup>18</sup> that adjust for the study's cluster design. Statistical significance of differences was judged by non-overlapping 95% confidence intervals (CI), which improve the interpretation of data because they provide robust upper and lower boundaries for the probable size of the true effect. A sample prevalence estimate was calculated which can be interpreted as the prevalence of asthma among patients who present to GPs at any given time.

Pearson's correlation statistics were used to measure any correlation between GP's opinion of patient asthma control and the patient's perception of the impact of asthma on their life, GP's opinion of patient asthma control and actual ACQ-5 scores, and patient's perception of the impact of asthma on their life with actual ACQ-5 scores.

### **Results**

Recording pads were returned by 103 of 125 GPs (82.4%). The age distribution of the patients in this SAND sample (*Figure 1*) did not significantly differ from that of patients at the 122.5 million general practice encounters claimed across Australia through Medicare in 2011–2012 (personal communication, Department of Health Australia, May, 2012). Completed asthma forms were received for 2563 patients aged 18 years or older.

The prevalence of diagnosed asthma in adult patients was 12.7% (95% CI: 10.9–14.5)

### Table 1. Asthma Control Questionnaire, 5-item version (ACQ 5)<sup>14,15</sup>

Circle the number of the response that best describes how you have been during the past week

### 1.On average, during the past week, how often were you woken by your asthma during the night?

- 0. Never 3. Several times 5. A great many times
- Hardly ever
   Many times
   Unable to sleep because of asthma

### 2. On average, during the past week, how bad were your asthma symptoms when you woke up in the morning?

- you woke up in the morning?
- 0. No symptoms
  1. Very mild symptoms
  2. Mild symptoms
  3. Moderate symptoms
  4. Quite severe symptoms
  6. Very severe symptoms

### 3. In general, during the past week, how limited were you in your activities because of your asthma?

- 0. Not limited at all 3. Moderately limited 5. Extremely limited
- Very slightly limited
   Very limited
   Totally limited

### 4. In general, during the past week, how much shortness of breath did you experience because of your asthma?

- 0. None 3. A moderate amount 5. A great deal 1. Very little 4. Quite a lot 6. A very great deal
  - Very little
     A little
     Quite a lot

#### 5. In general, during the past week, how much of the time did you wheeze?

- 0. Not at all 3. A moderate amount of 5. Most of the time the time 6. All the time
- 2. A little of the time 4. A lot of the time

### Table 2. GP and patient perceptions of asthma control and grouped ACQ-5 scores

GP opinion (n = 318)	% (95% CI)	Patient- perceived impact (n = 319)	Percentage (95% CI)	ACQ-5 scores* (n = 318)	Percentage (95% CI)
Well controlled (n = 237)	74.5 (70.0–79.1)	Not at all (n = 113)	35.4 (29.2–41.6)	Well controlled (n = 166)	52.2 (46.6–57.8)
Partial control (n = 62)	19.5 (15.5–23.5)	A little (n = 134)	42.0 (36.3–47.7)	Not well controlled (n = 51)	16.0 (11.7–20.4)
Poor control (n = 19)	6.0 (3.4–8.5)	Moderately (n = 47)	14.7 (10.6–18.9)	Uncontrolled (n = 101)	31.8 (26.5–37.0)
		Ouite a lot (n = 17)	5.3 (2.9–7.8)		
		A great deal (n = 8)	2.5 (0.7–4.3)		

\* Scores of <0.75 = well controlled; 0.75-1.5 = not well controlled; >1.5 = uncontrolled

Table 3. Correlation between GP and patient perceptions of asthma control and actual ACQ-5 scores

Variables		Pearson's correlation
GP perception of asthma control	Actual ACQ – scores (ungrouped raw scores)	0.6545
Patient-perceived impact	Actual ACQ – scores (ungrouped raw scores)	0.7435
GP perception of asthma control	Patient-perceived impact	0.6671

Table 4. Medication taken for asthma management

Asthma	Taken how often in the past week? Percentage* (95% CI) (n)					
medications in last month (n = 414)	Not at all	1–2 times	3–10 times	11+ times		
SABA (± other medication) (n = 178)	22.2 (16.4–28.1) (n = 38)	24.0 (17.8–30.2) (n = 41)	24.6 (18.4–30.2) (n = 42)	29.2 (22.3–36.2) (n = 50)		
SABA only (n = 43)	41.9 (27.4–56.3) (n = 18)	25.6 (12.4–38.7) (n = 11)	18.6 (4.9–32.3) (n = 8)	14.0 (3.6–24.3) (n = 6)		
LABA & ICS (n = 162)	7.2 (2.9–11.5) (n = 11)	11.1 (5.7–16.5) (n = 17)	24.2 (16.4–32.0) (n = 37)	57.5 (47.9–67.1) (n = 88)		
LAMA (n = 17)†	5.9 (0.0–42.1) (n = 1)	17.6 (0.0–42.1) (n = 3)	76.5 (50.0–100.0) (n = 13)	0.0 (n = 0)		
ICS without LABA (n = 18)†	0.0 (n = 0)	22.2 (2.0–42.4) (n = 4)	33.3 (10.4–56.2) (n = 6)	44.4 (17.7–71.2) (n = 8)		
OCS (n = 14)†	23.1 (0.0–57.5) (n = 3)	23.1 (0.0–51.4) (n = 3)	30.8 (0.0–69.8) (n = 4)	23.1 (0.0–59.8) (n = 3)		

Asthma medications recorded as taken in the previous month by 245 patients. Frequency of use was reported for 391 of the 414 asthma medications. SABA = shortacting beta agonist; ICS = inhaled corticosteroid; LABA = long-acting beta agonist; LAMA = long acting muscarinic agonist

(n = 325). Age-specific prevalence did not differ between age groups, but the asthma prevalence was significantly higher among females (14.4, 95% CI: 12.31-16.54) than males (10.0, 95% CI: 7.72-12.28).

General practitioners believed asthma to be well controlled in three-quarters of patients (Table 2). A similar proportion of patients felt that asthma had little or no impact on their lives. However, the grouped ACQ-5 scores showed only half of patients to be classified as 'well controlled' and 31.8% uncontrolled.

When comparing the ACQ-5 raw scores with GP and patient perceptions of asthma control, there was good correlation between GP perception of asthma control and individual patient ACQ-5 raw scores, and between GP perception and patient perceived impact of asthma. The highest correlation occurred between the patients' perception of the impact of their asthma and their raw ACQ-5 score (Table 3).

Of the 325 patients with diagnosed asthma, 24.4% took no medication in the previous month. In the remaining 245 patients, short-acting β2

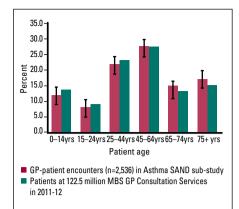


Figure 1: Age distribution of patients in SAND sub-study sample

agonist (SABA) and inhaled corticosteroids (ICS)/ long-acting β2 agonist (LABA) accounted for 43% and 39% of medications, respectively. The frequency of use in the previous week was high for both medications (Table 4). Only 8.2% took ICS alone.

### **Discussion**

Nationally, one in eight adult general practice patients has diagnosed asthma, a finding consistent with other national prevalence estimates. 19,20 There was a high level of agreement about the perceived control/impact of the patient's asthma between GPs and patients, while the grouped ACQ-5 suggested the patients' asthma to be less well controlled than either perceived. Medication use for asthma patients was sub-optimal.

There is evidence from the literature that patients with well-controlled asthma may still experience exacerbations, particularly during respiratory infections.<sup>21</sup> These data were recorded in July and August, which are winter months when patients are most likely to suffer a respiratory infection that may trigger their asthma. This increases the likelihood that they experienced respiratory symptoms in the previous week, even when their asthma was reasonably well controlled previously. This may explain why the ACQ-5 grouped scores for asthma symptoms experienced during the previous week indicated a greater proportion of patients with poorly controlled asthma than either GPs or patients perceived, given their 'opinion' is based on a yearround perspective.

It is also possible that patients have become used to the impact of asthma on their lives, and,

<sup>\*</sup>Missing data removed

<sup>†</sup> result should be interpreted with caution due to small numbers

having not communicated the existence and/or recurrence of symptoms, lead the GP to believe that their asthma is better controlled than it is in reality. The correlation coefficients show a moderate-to-high level of agreement between the GP and patients' perceptions of asthma control, and on each of these with the individual ACQ-5 scores. However, agreeing on the level of control does not mean that control could not be significantly improved, and the ACQ-5 has highlighted this group.

This study also highlights issues with asthma medication. No medication use was recorded in the preceding month for one quarter of patients. Only 18 (5.5%) patients were taking ICS without LABA, which is the recommended first-line treatment. Given the recommended ICS/LABA regimen is twice-daily (i.e., 14 times per week),22 it is a concern that only 57.5% of patients were compliant with guidelines. SABA use was high, with 53.8% taking it three or more times in the previous week; more than half of these took SABA more than 10 times and 13.2% of patients were medicating solely with SABA. Both are indicators of loss of asthma control.<sup>22</sup> We do not know whether these patients had not been prescribed long-term preventive therapy, or were not adhering to their GP's recommendations. Investigating asthma control related to medication use would have been informative, but numbers were too small for reliable conclusions.

## Implications for general practice

The ACQ-5 is a useful tool to opportunistically assess asthma control during consultations. A sizeable proportion of patients with asthma presenting to general practice have indicators of a loss of asthma control. The ACQ-5 questions may prompt medication reviews, reinforcing the benefits of compliance and enhancing selfmanagement skills, which will improve long-term asthma control.

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Competing interests: None.

### **Ethics** approval

The BEACH program and all SAND sub-studies are approved by the Human Research Ethics Committee of the University of Sydney (Ethics protocol Ref. No. 11428).

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